

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents
United States Patent and Trademark
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in its capacity as elected Office

Date of mailing (day/month/year)

04 September 2000 (04.09.00)

International application No.

PCT/EP99/09928

Applicant's or agent's file reference

98P5930P

International filing date (day/month/year)

14 December 1999 (14.12.99)

Priority date (day/month/year)

16 December 1998 (16.12.98)

Applicant

LAUTENBACHER, Markus

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

17 July 2000 (17.07.00)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

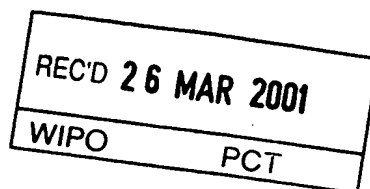
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

3

Applicant's or agent's file reference 98P5930WO	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP99/09928	International filing date (day/month/year) 14/12/1999	Priority date (day/month/year) 16/12/1998
International Patent Classification (IPC) or national classification and IPC H04L29/06		
Applicant SIEMENS AKTIENGESELLSCHAFT et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 8 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 17/07/2000	Date of completion of this report 22.03.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Körbler, G Telephone No. +49 89 2399 8250 

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP99/09928

I. Basis of the report

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

Description, pages:

1-19 as originally filed

Claims, No.:

1-15 as originally filed

Drawings, sheets:

1/7-7/7 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-12, 14
	No:	Claims	13, 15
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-15
Industrial applicability (IA)	Yes:	Claims	1-15
	No:	Claims	

2. Citations and explanations
see separate sheet

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:
see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP99/09928

Cited documents:

D1: EP-A-0 365 200
D2: GB-A-2 320 344
D3: WO 98 16051 A
D4: WO 97 38511 A
D5: WO 98 37665 A
D6: WO 99 40712 A

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. The present formulation of the independent claim 1 fails to meet the requirements of Art. 33(3) PCT, because the subject-matter does not involve an inventive step.

The presently available closest prior art is given by document D2.

D2 discloses (the references in parentheses applying to this document):

Service system (page 2, line 39) in a network comprising:

- at least one server storing application programs (page 4, line 8-10: "In this strategy..."), said application programs implementing user services that may be subscribed by a user (page 5, line 11-21: "Its part of the..."),
- at least one terminal with access to the network requesting on demand of a terminal user downloading of application programs corresponding to the services the user has subscribed to (page 5, line 37 - page 6. line 3: "When a VES is downloaded..." and executing said application programs (page 1, line 40 - page 2, line 1: "According to the present...").

The present application differs from this prior art in said server storing the specific services subscribed by a user on a per user basis.

The objective problem would be to save the current subscribed service configuration of individual users.

However, D1 (column 7, line 1-6: "The memory..." and column 7, line 13-20: "When the user...") already discloses a solution to this problem in that the server stores the specific services subscribed by a user on a **per user basis** in a memory including a data base system in which the user profile information is stored.

A person skilled in the art would combine the features of D2 and D1 to arrive at the desired solution (to save the current subscribed service configuration of individual users).

Therefore the essence of the invention is also already known from D2 in combination with D1.

Consequently, the features of present claim 1 are found by the skilled person in a most self-evident matter; they are not based on an inventive step, and claim 1 fails to meet the requirements of Art. 33(3) PCT.

2. The subject-matter of server apparatus claim 6 and terminal apparatus claim 9 corresponds in terms of essential features to that of the network claim 1, because it merely corresponds to the server and the terminal function within the network as claimed in claim 1.

Therefore, the objection raised in above applies equally to claims 6 and 9 which do consequently not meet the requirements of Article 33(3) PCT (inventive step).

- 3a. The present formulation of independent claim 13 is such that its corresponding subject-matter is not novel having regard to the disclosure of document D2.

Document D2 discloses (the references in parentheses applying to this document):

A method for realizing services in a network, wherein

- providing of user services is done by a server in the core of the network (page 1, line 17-19: "While traditional clients..."),

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP99/09928

- executing of user services is done by terminals at the network edges (page 1, line 41 - page 2, line 1: "According to the present ...").

This is the wording of claim 13 of the present application, the subject-matter of which is consequently not novel. The claim therefore does not meet the requirements of Art. 33(2) PCT.

- 3b. It should be noted that even if novelty of claim 13 could be argued based on minor differences between the features of cited claim and those disclosed in D2, the subject-matter of claim 13 would still not involve an inventive step, Article 33(3) PCT, having regard to the disclosure of D2 especially as this document discloses the same object and the same type of solution as claimed in this claim.
4. Independent network claim 15, although phrased as a network claim, is nonetheless a simple repetition of the subject-matter of method claim 13 and hence does not meet the requirements of the PCT for the same reasons.
5. The additional features of the dependent claims 2-5, 7-8, 11-12, 14 are either directly derivable from the above cited documents or concern simple embodiments without inventive merit in themselves.

These claims do not, therefore, add inventive matter to the claims upon which they are dependent and, as a consequence, do not meet the requirements of Articles 33(1) and (3) PCT.

Re Item VI

Certain documents cited

Certain published documents (Rule 70.10)

Application No Patent No	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
WO-A-99 40712	12.08.1999	08.01.1999	05.02.1998

Re Item VII

Certain defects in the international application

1. The independent claims are not in the two-part form required by Rule 6.3(b) PCT, with a preamble based on D1 and D2.
2. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
3. Contrary to the requirements of Rule 5.1 (a)(ii) PCT, the relevant background art disclosed in documents D1, D2 and D6 is not discussed in the description, nor are these documents identified therein.

Concerning document D6 (intermediate document), its publication date should have been mentioned in the description.

Re Item VIII

Certain observations on the international application

1. The independent Claims 9 does not meet the requirements of Article 6 PCT since its subject-matter is not clear for the following reason:

The claim mentions "...said application **sw** implementing...".

The term **sw** is not clear (Article 6 PCT). Presumably the Applicant means software.



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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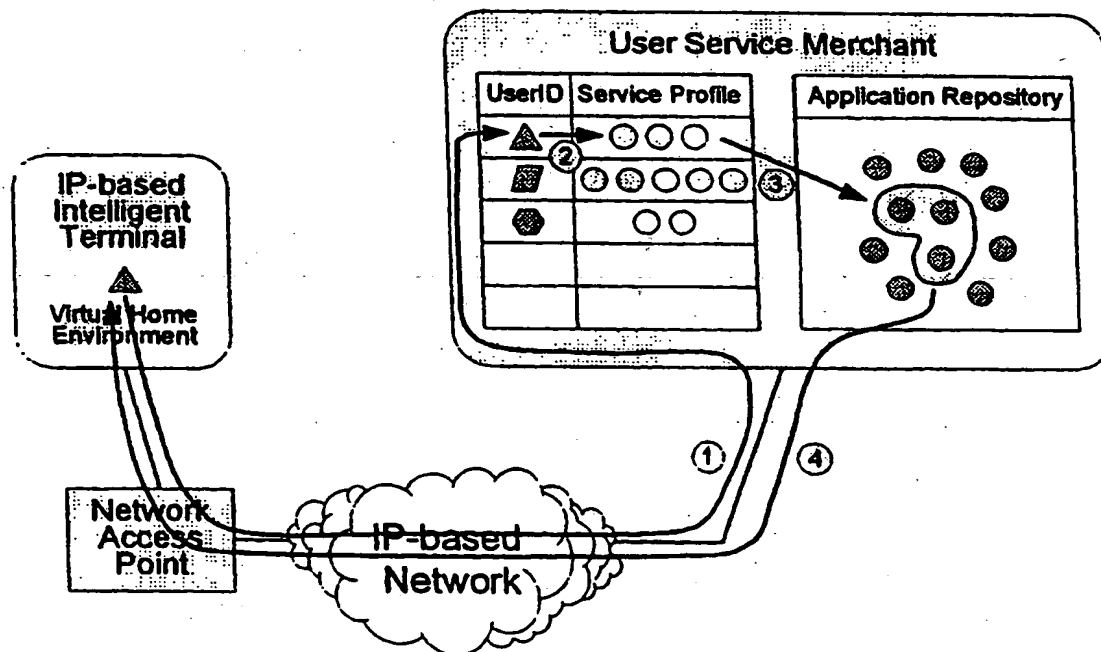
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Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: SERVICE SYSTEM IN A NETWORK



(57) Abstract

A System for the realization of user services in a network must be able to cope with ever changing user services for even more rapidly evolving terminal hardware. This goal is achieved by a function split in realizing services. Providing of user services is done by a server in the core of the network, while executing of user services is done by terminals at the network edges.

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7/PATS

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Description

Service System in a network

5 1. Introduction

10 In IP-based communication networks (for telecommunication and/or data), Network equipment vendors will add value to their products by providing distributed Network Services (e.g. QoS, security, reliability, roaming, remote access,...) to enhance basic-IP connectivity.

15 Independent 3rd party companies will provide Network Applications (e.g. communication, collaborative working, e-commerce, on-line gaming, distance learning,...) for clients/servers that transparently use the network infrastructure as a mere transport facility based on open standards and protocols.

20 The aforementioned facts require a flexible architecture for the provision and execution of user services in IP-based networks for telecommunication and data (converged networks).

2. State of the art

25 In existing solutions for user service provisioning e.g. in telecommunication networks like the GSTN (Global Switched Telephony Network), the intelligence for user service provision and execution are unified and centralized in a few network entities:

- 30
- In the Local Exchange LX approach (providing "switch-based services") the service processing is tightly coupled with normal call processing.
 - In the Intelligent Network (IN) approach the call processing is separated. The combination of the Service Switching Function (SSF) and Service Control Function (SCF)
- 35

work to process services by detection of traversal of points in a call and by manipulation of the call state.

5 In the GSTN, said user services are called supplementary services. In general, these supplementary services automate procedures that people carry out when using "basic" telephony. In other words, supplementary services are features that make basic telephony calls more convenient

10 3. The Invention

Next generation IP-based networks will have two important characteristics:

- mobility of users (with or without their terminals)
- 15 • a wealth of intelligent terminals (PC, PDA, laptop, mobile phone with Java Virtual Machine (JVM),...)

These characteristics drive requirements for the benefit of the user and network:

20 User Requirements:

- Roaming of nomadic users combined with transparent co-migration of their Virtual Home Environment (VHE). The VHE is a user's personal set of subscribed applications, his
25 personal options of how these applications are configured and bundled into a user services package, and his personal choice how he wants to pay for the use of these user services.
- Intelligent communication terminals like a PC, PDA,
30 lap/palmtop, mobile phone with Java Virtual Machine (JVM) etc.
- A user may not be limited to a single type of intelligent terminal but instead will want to use what is most appropriate or what is available at a particular location.
- 35 • Despite changing terminals at will, the user always wants to have the same services available regardless of the particular end device used.

Network Requirements:

5 Due to the flexible nature of IP-based networks, the number of possible applications and user services running on intelligent terminals is enormous. Programmers are constantly implementing new ideas based on inexpensive, off-the-shelf platforms for intelligent terminals (e.g. PCs).

10 An architecture for the provision of user services must be able to cope with ever changing applications and user services for even more rapidly evolving terminal hardware. As the network edges are changing so fast, it will become impossible to provide and execute user services in the core
15 of the network. Core equipment is subject to more stringent requirements than consumer-grade, low-cost edge equipment (terminals) and hence more expensive. Creation of applications and corresponding user services (supplementary services in the case of Internet Telephony) on core equipment
20 would technologically and economically not be able to keep up with the rapid creation of new applications and user services on inexpensive edge equipment.

25 The object of the present invention is to solve said problems.

In the following, the invention is described by the aid of a few examples of its embodiments by referring to the attached drawing comprising seven figures.

30 Figure 1: Elements of an architecture for the provision of use services in next generation networks.

35 Figure 2: Architecture for the provision of user services in "idle state": ready to enable a user with his specific service profile when he connects with his intelligent terminal to a network access point.

Figure 3: Step-by-step service delivery: (user authentication ("user ID-to-service profile" mapping ("service profile-to-applications bundle" mapping (delivery of user specific applications bundle.

Figure 4: Service delivery completed: the user is ready to use his personal profile of user services.

Figure 5: Detailed view of the Application Execution Environment (AXE)..

Figure 6: Minimal architecture for Internet Telephony.

Figure 7: Architecture for supplementary services in Internet Telephony

The principle idea of the invention is the function split in realizing services:

providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network, while the execution of user services is delegated to intelligent terminals at the network edges.

25

Since by now terminals have become powerful processing units anyway, it is economically and technically reasonable to delegate the execution of user services to intelligent terminals at the network edges. However providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network.

30

Technically this is achieved by a corresponding client/server architecture with a least one central application repository server keeping copies of application programs that can be downloaded on-demand into the user's terminals.

35

This architecture for the provision of user services in next generation IP-based networks for telecommunication and/or data meets all the aforementioned user and network requirements.

The invention optimally makes use of the two sources of intelligence that will be present in such networks:

- distributed intelligence in network elements (such as routers and switches) adding value to basic IP-connectivity
- intelligence in end systems (clients, servers) allowing for a wealth of advanced applications

This is a new notion of "network intelligence" different from the interpretation in classical IN for the GSTN where intelligence solely rests in central entities. There the terminals are just dumb telephony sets without any processing power.

With terminals becoming powerful processing units due to technical evolution, an embodiment of the invention includes a corresponding application execution environment (application execution component) that works across a wide range of intelligent terminals and enables the technical feasibility of delegating service execution to a spectrum of different intelligent terminals.

Terminology:

User services are services to the end user resulting from the interworking of network-aware applications which operate above IP-Model Layer 3 (typically even above IP-Model Layer 5) in intelligent terminals at the edges of the network. Examples: email, WWW, Buddy Lists, Internet Telephony, ...

Network services add value to the basic service of "IP-transport". These services are provided at IP-Model Layers 2

& 3 by network elements which operate inside the network.
Examples: QoS, security, VPN,...

5 The invention is concerned with an architecture for the
provision of user services to IP-based intelligent terminals,
not with the provision of network services.

10 For the purpose of the invention it is sufficient to treat
the IP-based network as an entity providing IP-connectivity
only. The network is considered to be a pure transport
network offering no specific network services.

3.1 General architecture

15 3.1.1 Architectural elements

The general architecture involves only a small number of
network entities as illustrated in Fig. 1:

20 IP-based Intelligent Terminals:

This is a network entity with its own IP address, memory, and
some sort of CPU. For the near future, this are the numerous
workstations, PCs, lap- & palmtops, IP-(video)phone sets, TV
set-top boxes, mobile communication devices that run some
25 sort of operating system and become - following Moore's Law -
equipped with ever more powerful CPUs every 18 months.
Each of these terminals understands some variant of Java.
Today, the Java Virtual Machine (JVM) is already a standard
part of most operating systems or gets molded into dedicated
30 silicon chips for small devices like mobile communication
devices.

There is and will be a wealth of terminals available to the
user. Each of them far more "intelligent" than the standard
phone handset of today. The proposed architecture will
35 therefore make use of this "free and idle intelligence" by
delegating execution of user services to it.

Network Access Points:

Depending on the particular intelligent terminal considered, this is a LAN connector, a dial-up POP of an ISP, a lap/palmtop docking unit, a base station for mobile communication, etc. In the proposed architecture the sole purpose of the network access point is to provide IP-network connectivity.

Given the possibility of users roaming from access point to access point, getting dynamically assigned IP-address while at the same time switching between PC, laptop, mobile communication device,... , the access does not handle advanced tasks such as authentication or any other user specific things.

User Service Merchant:

If the execution of user services is delegated to the intelligent terminals, and the network access point provides just pure IP-connectivity, then the intelligence beyond mere execution of user services must be kept elsewhere. This is the User Service Merchant. The User Service Merchant acts as a central source for user specific information like user ID, authentication data, profile of subscribed user services, billing information, etc. It also keeps "master copies" of the application executables in a dedicated repository. (see next section for a detailed explanation). The User Service Merchant provides all the logic necessary to provision services to the user but does not actually perform the services.

In the existing classical IN for the GSTN, the intelligence for service provision and execution are unified and centralized in a few network entities. This is opposite to the solution proposed here, where the intelligence is split and decentralized: provision of user services is handled centrally by the User Service Merchant, the actual execution of user services is delegated to the distributed intelligent terminals.

3.1.2 Distributed Model for Provision and Execution of User Services

5 3.1.2.1 "Idle" State

Fig. 2 shows the architecture in "idle state". The User Service Merchant is connected to the network, the user's intelligent terminal (PC, laptop, mobile communication
10 device,...) may or may not be connected to the network via a network access point. At this point the User Service Merchant considers the terminal as "off-line" (in Fig. 2 represented in italics) in either case.

15 The User Service Merchant keeps a list of user IDs (UID). An UID uniquely identifies a subscriber and indirectly associates him with a certain bundle of pre-selected applications. The information about the exact selection of applications is kept as an UID-associated Service Profile for
20 each individual subscriber on the User Service Merchant.

The User Service Merchant also maintains an Application Repository which basically is a collection of application programs stored in a suitable database system. Each program
25 either implements a self-contained user service, or some value-adding supplementary feature for such a service (example: the self-contained service could be "text-only Web browsing" for mobile communication appliances, the supplementary feature could be "optional graphics support for
30 Web browsing" with the same appliance). The programs are implemented such that an instance of them can be downloaded on demand from the repository into the user's intelligent terminal for execution. This may be achieved by technologies such as Java.

35

A user can (un)subscribe to any of the available application programs in the repository by correspondingly changing the

configuration of his UID-associated Service Profile. This update of the Service Profile is propagated to the User Service Merchant for permanent storage when the user terminates his session or when he takes his intelligent terminal off-line. The Service Profile is not stored on the user's intelligent terminal to allow for easy roaming or switching between different intelligent terminals. With the Service Profile kept centrally on the User Service Merchant the user always gets the same Service Profile regardless whether he accesses the network e.g. from his office PC, from a public information kiosk, from a mobile communication device, or from home. Changes to the Service Profile made from one location/terminal will be available automatically at any other location/terminal. This concept of a Service Profile transparently migrating with the user across different locations and end systems is referred to as Virtual Home Environment (VHE).

3.1.2.2 Service Delivery

Service delivery with this type of architecture is shown in Fig. 3. The steps involved are:

(1) User Authentication

Before a user can use any of the services he has to authenticate himself by providing his UID (and related password/PIN) to the Service Merchant. In the case of a permanently connected PC this can be done by clicking on a corresponding button. In the case of a laptop or a mobile communication device, this can be done automatically when it gets connected to the Network Access Point.

(2) "UID-to-Service Profile" Mapping

After the UID (dark gray triangle) has been verified, it is mapped to the corresponding Service Profile of the user. The Service Profile (light gray blobs) represents the user's personalized applications bundle compiled from the total set

of available applications (dark gray blobs) in the Application Repository. Via the Service Profile the user can create his very personal VHE. The profile is not static but can be customized and administered by the user directly from his intelligent terminal.

(3) "Service Profile-to-Applications Bundle" Mapping

Based on the information in the user's Service Profile the User Service Merchant identifies a subset of the applications available in the Application Repository. The identified subset (circled dark gray blobs) is the personalized bundle of user services.

(4) Delivery of User Specific Applications Bundle The final step is to download the selected bundle of applications over the network into the user's intelligent terminal. The Java software technology was designed with exactly this download capability of programs in mind. Related issues such as platform independence, efficiency and security when transmitting code over a network are an integral part of the Java design. Together with its increasing availability in intelligent terminals, this makes Java the prime candidate to support the type of architecture for the delivery of user services discussed in this document.

3.1.2.3 "Ready" State

Fig. 4 shows the architecture in the "ready state", the final stage of the service provisioning process. The programs enabling the subscribed user services have been downloaded into his intelligent terminal and await their invocation and execution there.

3.1.3 Advantages

As end systems become equipped with ever more powerful processors, it is just reasonable to exploit this power by having the services execute on the end systems rather than

some central network entity. Restraining themselves to service delivery issues rather than execution central network entities face less stringent scalability problems.

5 Furthermore, with this approach central network entities like the User Service Merchant are out of the loop of rapid technology changes in intelligent terminals like PCs, laptops, mobile communication devices, etc. If service specific details regarding the intelligent terminals change,
10 only the affected modules of the programs in the Application Repository have to be adapted. The User Service Merchant as a whole with its user administration, authentication, application repository & delivery functions is not affected at all. This modular approach to the provision of user
15 services is nicely supported by the dynamic loading of required classes available in Java. Not even the whole program has to be replaced but just the affected class file.

3.1.4 Application Execution Environment (AXE)

20 With execution of user services delegated to the intelligent terminals in the form of downloadable application programs, it becomes important to devise a corresponding Application execution environment that works across a wide range of
25 technologically different intelligent terminals. The Application execution environment is therefore implemented as a virtual machine, for example as a java virtual machine. The virtual machine has to be ported to each supported terminal only once. To the applications the virtual machine looks the
30 same on all supported terminals.

Fig. 5 shows a correspondingly detailed view of an intelligent terminal for the case of a PC running the Windows operating system by Microsoft.

35

The downloaded application programs that implement a particular user service are Java applets (dark gray blobs).

They are executed in the Application Execution Environment (AXE) on the intelligent terminal. Communication to and from the user is done via a graphical user interface (GUI) shared by all user services. The downloaded programs are confined within the AXE (so-called 'sandbox' approach) and do not communicate to lower software layers other than through a specific AXE API. The platform dependent connection to the Windows DLLs is achieved by a Java/COM interface taking AXE API commands as input. This interface has to be written only once (e.g. in C++) for each supported intelligent terminal. The interface forms the specific link between the platform independent user services implemented in Java and the actual operating system and hardware of the terminal.

3.2 ARCHITECTURE FOR SUPPLEMENTARY SERVICES IN INTERNET TELEPHONY

This evolves the general architecture of section 3.1 further in the case of supplementary services for Internet Telephony.

3.2.1 Prerequisites

3.2.1.1 Status Quo

Today, Internet Telephony is still lacking the elaborate set of supplementary services offered by the Intelligent Network (IN) approach in the Global Switched Telephone Network (GSTN). Internet Telephony is mainly used to provide an analogy for telephony over the GSTN.

3.2.1.2 Minimal Architecture for Internet Telephony

Fig. 5 shows a minimal configuration by which Internet Telephony (and, in particular, Microsoft (MS) NetMeeting) calls are arranged.

In this arrangement, there are three PCs needed: the Internet Locator Service (ILS) Server and the originating and

terminating end stations. The end stations are involved actively in the communication session, transcoding audio streams (and, optionally, video streams or data) into a form that can be carried over the Internet.

5 The ILS (Internet Locator Service) Server acts as a directory server in the configuration. This node stores information on the users who are "logged in". Via the ILS users are associated with an Internet host, and that Internet host is running an Internet Telephony application and so is ready to
10 respond to incoming call requests. When a user asks for an Internet Telephony call to be originated, an address query is sent to the directory server with the "alias" (usually the email identifier) of their intended correspondent. The ILS then responds with the user's currently associated IP-
15 address.

3.2.1.3 Distinctive Features of Supplementary Services in Internet Telephony

20 With Internet Telephony a number of distinctive features for supplementary services become possible that are not available in the case of GSTN:

Supplementary services for Internet Telephony are applications

25 The important point to note here is that user services, in this particular case supplementary services for Internet Telephony, are associated with applications running on intelligent terminals, not some sort of central network
30 entity.

Simple service usage

35 Use of the Internet allows a great deal of complexity in the provision of supplementary services to be avoided. Many of the services that require user interaction in the GSTN require temporary connections to be made to specialized resources (such as announcement units and DTMF tone

decoders), and for any captured information to be sent onwards to service processors using separate data links. All of this is avoided because, on the Internet, one can assume that a user is associated with an end station that allows
5 involved text and graphics to be displayed, and that the end station is capable of transferring complex messages directly, rather than being restricted to a simple keypad.

Delegation of service processing to powerful end systems

10 There is another implication of the use of the Internet when providing supplementary services. Internet hosts are computers, and so will almost certainly have powerful processors. This opens the possibility of carrying out much of the processing on the end stations rather than relying on
15 the network nodes (such as the LX or the IN) as is required in the GSTN due to the lack of local intelligence in the POTS telephones.

Advanced supplementary services by combination with standard

20 IT applications

Combination of basic Internet Telephony with other Internet applications such as email enables yet another completely different set of truly advanced supplementary services.

25 3.2.2 Architecture

The architecture proposed here implements and extends the more general architecture of section 3.1 for the case of supplementary services for Internet Telephony.

30

The architecture is concerned with an architecture to support the provision of supplementary services for Internet Telephony. It is not concerned with providing a solution for basic Internet Telephony calls. This functionality will be
35 taken from some off-the-shelf Internet Telephony application package like Microsoft (MS) NetMeeting.

The proposed architecture contains an infrastructure for distributing service logic (in the form of Java applets) to the end stations, and for allowing the service logic and it's management infrastructure to operate in a distributed fashion (both in the end stations and in separate servers). The distributed service logic supports and interworks with the aforementioned Internet Telephony application package.

Fig. 7 shows the general arrangement of systems providing Internet Telephony, with one of the end stations (shown at the right) also having the enhancements to support supplementary services for Internet Telephony. The architecture contains the following entities:

User End Stations

The two end stations run off-the-shelf MS NetMeeting Internet Telephony application packages, where at least one application is enhanced with the J/Direct Interface and the Application Execution Environment (AXE).

ILS Server

The server offers directory services for translation of a symbolic user alias (e.g. email address) to the user's current IP address. The ILS is used to allow for easy to remember Telephony "numbers" and hence supports convenient call setup by the user.

User Service Merchant

The User Service Merchant acts as a repository for the supplementary services for Internet Telephony. The services are stored as pre-compiled programs (in the form of Java applets), each implementing a specific service logic. On demand by the user, these service logic units are downloaded into the user's end stations for execution there. The User Service Merchant also keeps track of which user is entitled to use which supplementary service.

When a user requests a particular Internet Telephony supplementary service, the Java applet with the corresponding service logic is downloaded from the Service Merchant into the AXE which sits on top of the user's Internet Telephony application package. The applet runs in the AXE and drives the telephony application package according to the applet's service logic through the J/Direct interface. The J/Direct interface allows for the service logic to access the Internet Telephony libraries of the underlying application package.

3.2.3 Key features and Benefits

- The proposed solution is not concerned with the fundamental processes involved in making a call (that functionality is to be provided by the underlying Internet Telephony package used). Part of the solution is however the provision of a standard interface that enables supplementary services to interact with all telephony packages in the same manner, without the need for any package-specific code in the services and whilst keeping the service/package interaction as simple as possible.
- The ability to download a user-specific set of small application programs from a central server into the user's end system.
- The ability to limit the supplementary services a user has access to and to charge the user for the services used.
- The ability to execute the downloaded programs that implement a set of supplementary services on the user's machine, in a secure environment and regardless of the machine platform.
- An overall control process that allows multiple, potentially conflicting, supplementary services to be executing at the same time without problem. The user is able to assign and change the relative priority of services within the service set and thus modify how potential conflicts are to be resolved.

- The ability for individual supplementary services in the downloaded service set to be configured by the user. This configuration includes setting service-specific parameters, setting the relative priority of services within the set of supplementary services and the ability to enable and disable individual services on a temporary basis.

3.2.4 Sample Supplementary Services for Internet Telephony

- Given the proposed architecture, a wealth of supplementary services for Internet Telephony can be provided: The set of sample Internet Telephony supplementary services listed below are aimed at combining email and Internet Telephony. The services can be grouped into "originating" and "terminating" services depending on whether the caller or callee uses them.

Email Alternative on Called Party Busy (originating)

- This originating service is triggered when the callee is already engaged in a call. Although the user may have rejected the offered call explicitly, the result (inability to communicate) is the same, and this service suffices in both cases. The caller is asked whether or not he wants to send an email instead. If the caller answers in the affirmative, then the rest of the service proceeds. The conference and call objects created by NetMeeting hold information on the called user alias, and this is used to make a template for an email to be filled in by the caller. This template is displayed on the user interface, and, on entry of the email content, is submitted for transmission. By implication, the service logic must include a small email client to send the email to an SMTP server.

Timed Retry on Called Party Busy (originating)

- As in the previous case, this supplementary service is triggered when a call rejection has been received from the remote callee. In this case, however, it will display a user interface dialogue asking the calling subscriber if they

would like the system to retry the callee's address at regular intervals. If the answer returned is "yes", then the service proceeds. A timer is started, and on its expiry, the call is re-initiated. After that, the service monitors the call state, and, if the call is successful, the user is notified. If it again fails, the timer is reset, and the sequence repeats. A choice on the user interface to be presented to allow for canceling of the sequence once it has could be an additional feature.

10

Call Filtering (terminating)

This terminating supplementary service is used to process calls delivered to the end station in terms of the caller's identity. In this case, it will be used to "auto-reject" call offers from people not on a list of names generated as a pre-service procedure. The list can contain explicit caller IDs or include mechanisms to reject calls from people who have arranged their Internet Telephony client not to pass on their identity (by the expedient of not registering with an ILS server prior to making the call, in the case of NetMeeting).

20

Email Notification of Missed Calls (terminating)

This terminating supplementary service allows a subscriber (callee) to be informed by email of the identities of the people who have called him whilst he was unable (or unwilling) to answer his Internet Telephony program. The supplementary service will extract the name of the caller from the call data that NetMeeting provides (as well as making a note of the current time), and then construct an email describing this information. Once this is ready, it will connect to the callee's SMTP server, will send the text it has created, and then finally terminate the connection. Enhancements of this service could be used to send other kinds of notification (such as, for example, contacting a Web-based gateway to the GSM Short Message Service (SMS) and sending an SMS message with the caller's identity).

30

35

Abbreviations:

5 IP: Internet Protocol

Claims

1. Service System in a network comprising:
 - at least one server storing application programs, said
5 application programs implementing user services that may be
subscribed by a user, said server storing the specific
services subscribed by a user on a per user basis,
 - at least one terminal with access to the network requesting
10 on demand of a terminal user downloading of application
programs corresponding to the services the user has
subscribed to and executing said application programs.
2. Service System as defined in claim 1,
characterized in that
15 said services are supplementary services to basic user
services.
3. Service System as defined in claim 1,
characterized in that
20 said services are supplementary services to the service
"internet telephony".
4. Service System as defined in any one of claims 1-3,
characterized in that
25 the specific services can be configured user controlled via
said terminal.
5. Service System as defined in any one of claims 1-4
characterized in that
30 a Java System at said server and said terminals supports the
downloading of said application programs.

6. Server in a network comprising:
- a data base system storing a collection of application programs implementing services that may be subscribed by a user and said data base system storing the specific services
 - 5 subscribed by a user in a profile on a per user basis,
 - a transfer component transferring on demand of a user at a terminal application programs to that terminal according to the services subscribed by that user.
- 10 7. Server as defined in claim 6, characterized in that said services are supplementary services to basic user services.
- 15 8. Server as defined in claim 6, characterized in that said services are supplementary services to internet telephony.
- 20 9. Terminal of a network,
- client component requesting on demand of a user downloading of application software from a server, said application sw implementing user services that may be subscribed by a user,
 - 25 - application execution component executing the downloaded application software to execute a user service and/or a supplementary service of a user service.
11. Terminal as defined in claim 10,
- 30 characterized in that said application execution component is implemented as a virtual machine.
- 12 Terminal as defined in claim 10 or 11
- 35 characterized in that configuring specific services subscribed by a user can be made via said client component.

13. A method for realizing services in a network, wherein
- providing of user services is done by a server in the core of the network,
 - 5 - executing of user services is done by terminals at the network edges.

- 14 A method as defined in claim 13,
characterized in that
- 10 the method is used for the realization of supplementary services for internet telephony.

15. Communication network, comprising
- a core network providing user services,
 - 15 - terminals at the network edges executing user services.

Abstract

5 Service System in a network

A System for the realization of user services in a network must be able to cope with ever changing user services for even more rapidly evolving terminal hardware.

- 10 This goal is achieved by a function split in realizing services. Providing of user services is done by a server in the core of the network, while executing of user services is done by terminals at the network edges.

15 Figure 3

Fig. 1

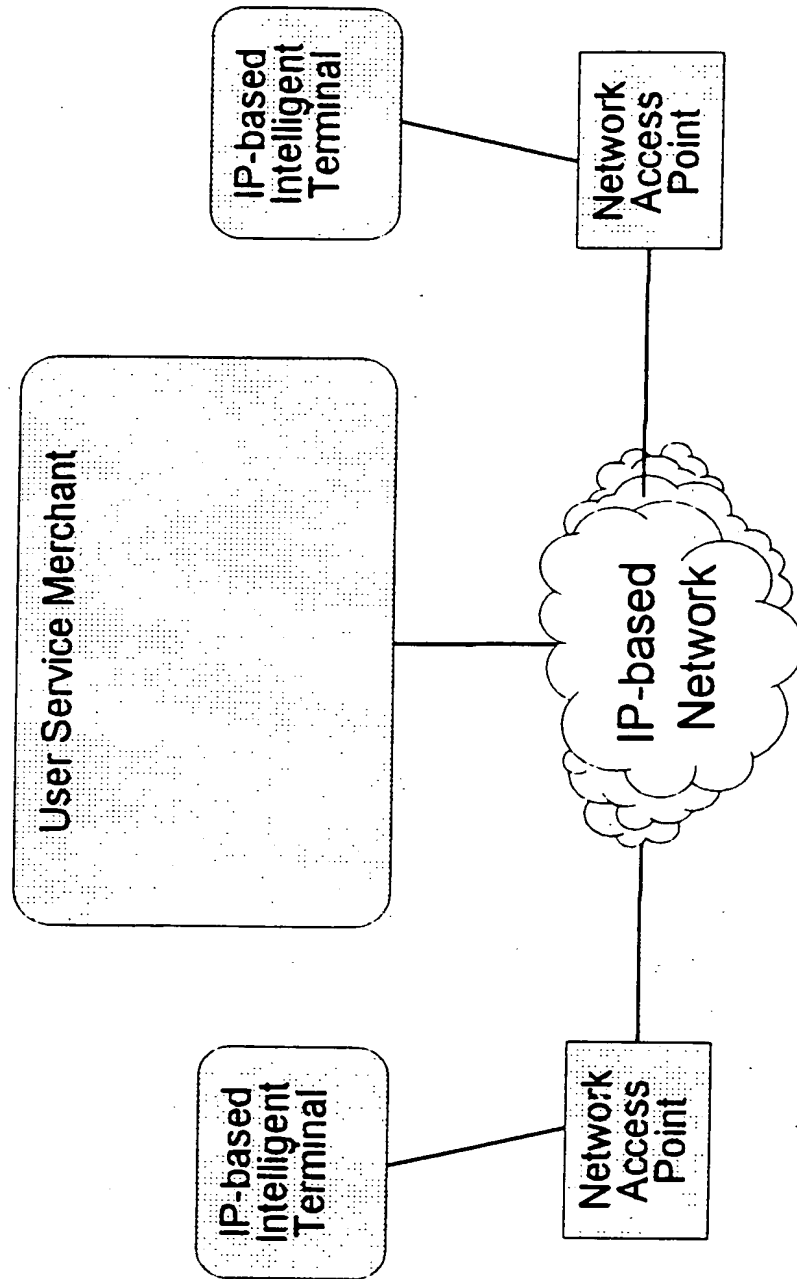


FIG. 2

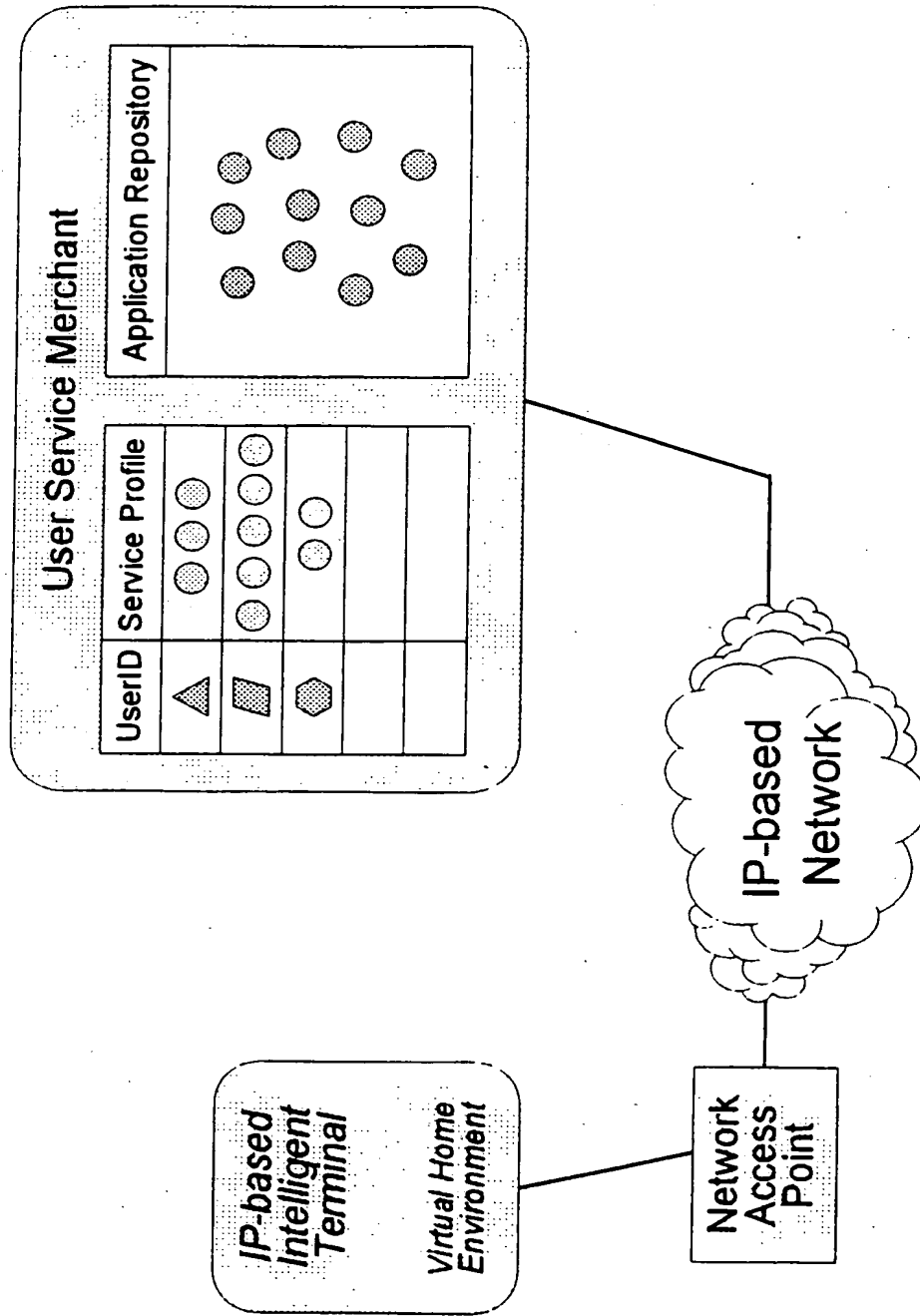


FIG. 3

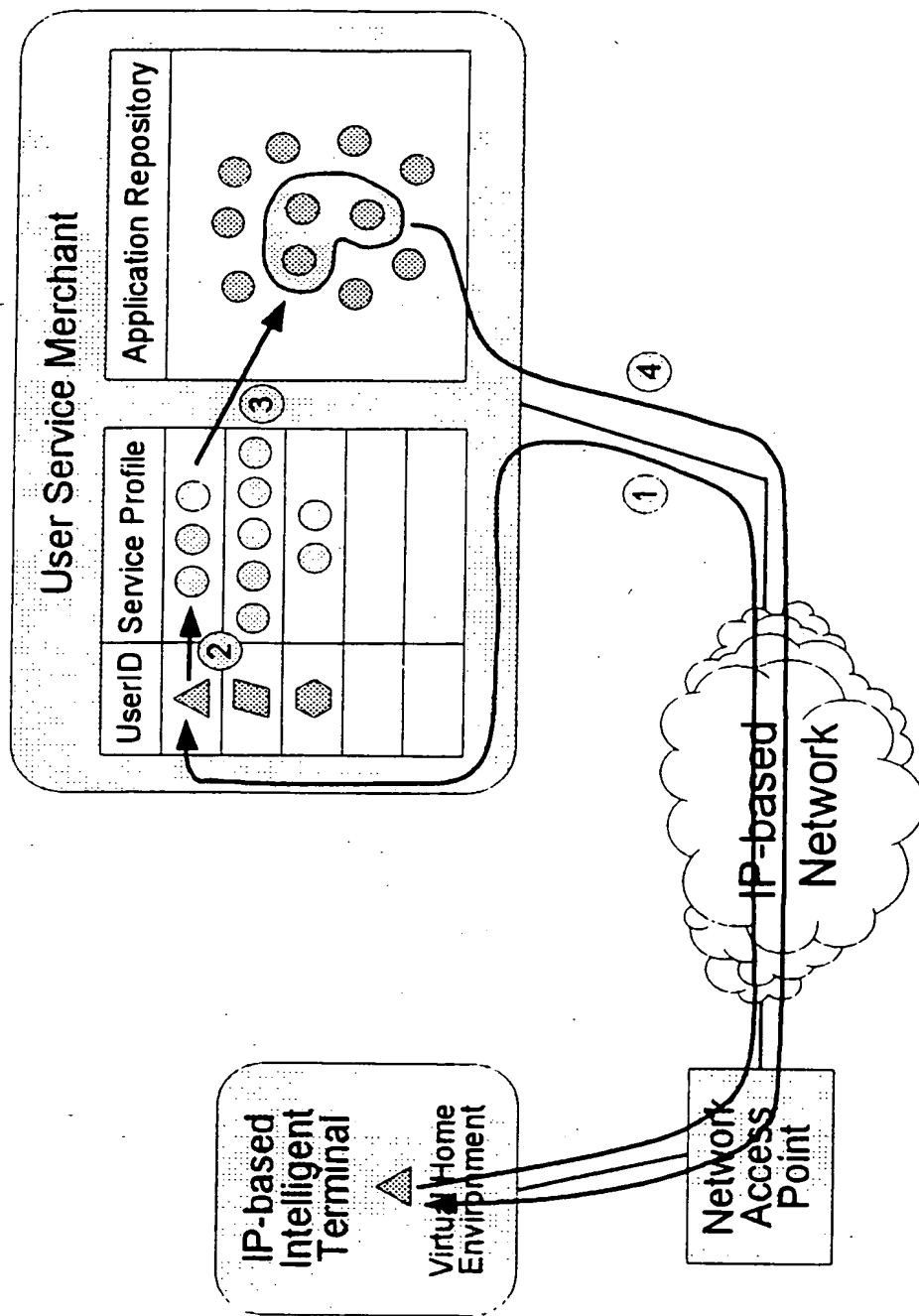
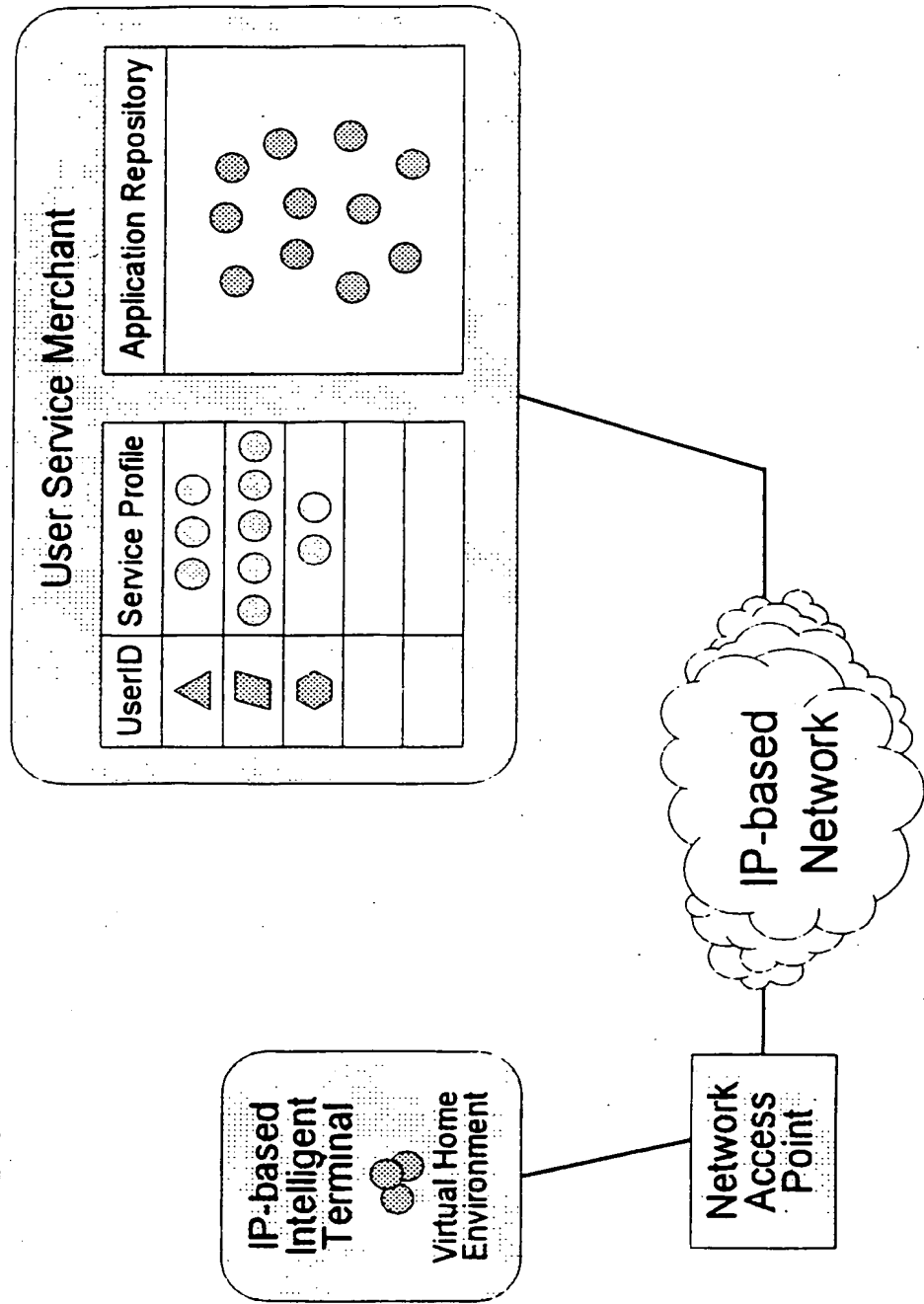


FIG. 4



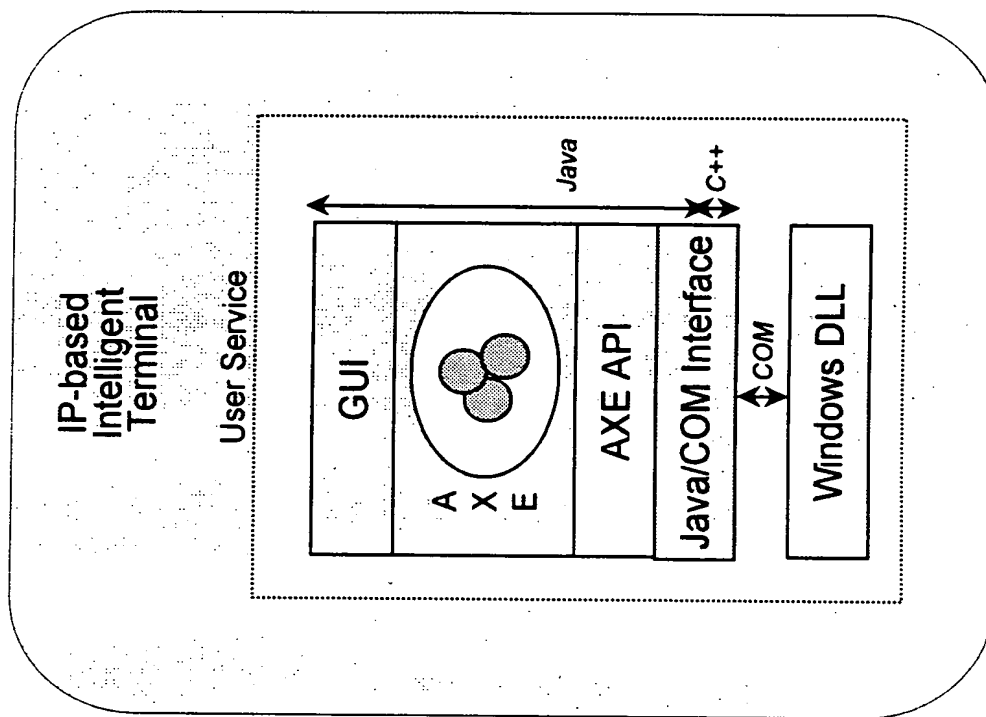
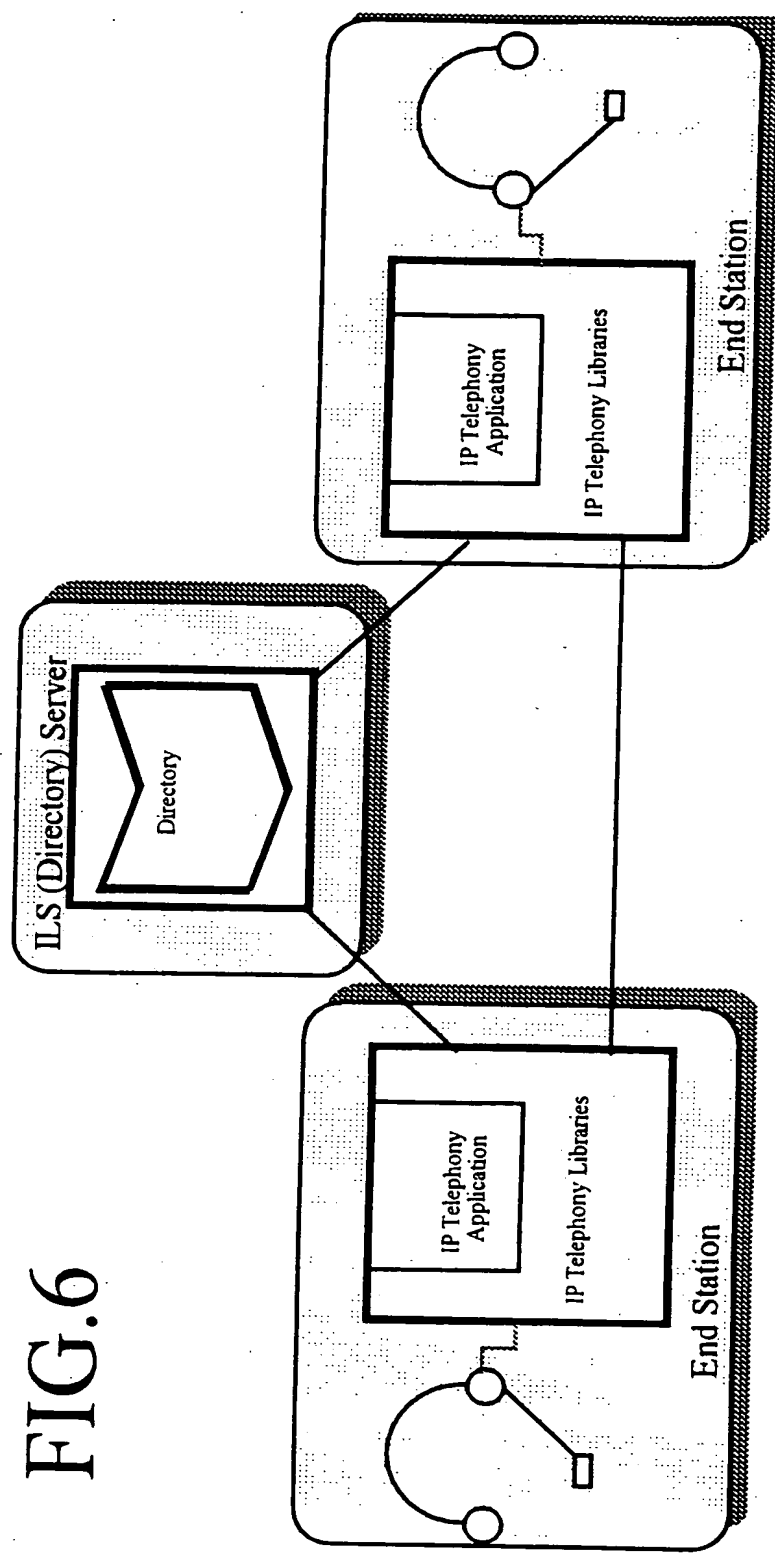


FIG.5

FIG.6



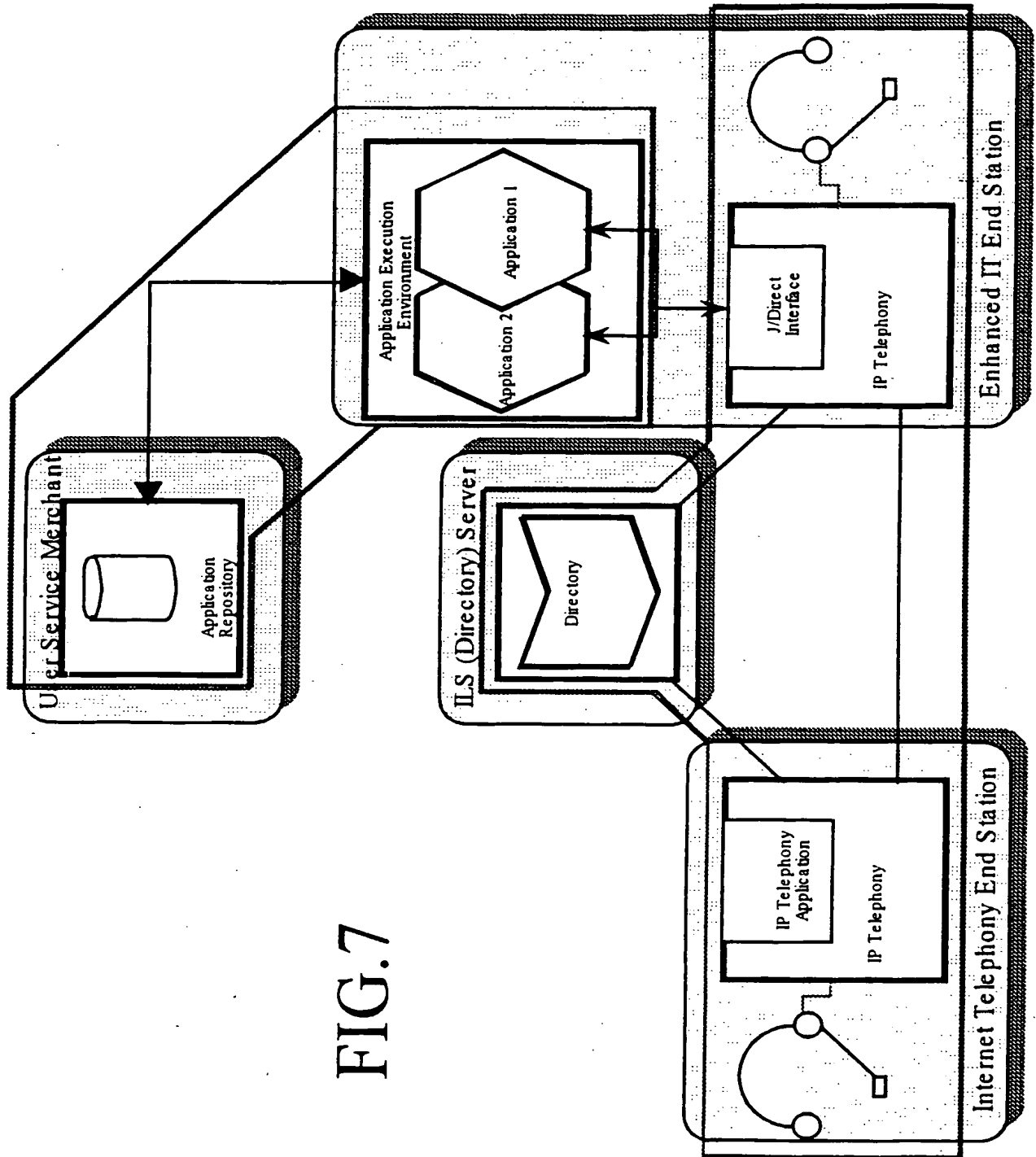


FIG. 7

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/09928

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Y	abstract	
Y	column 7, line 17 - line 20	3,8,14
A	column 7, line 33 - line 55	
A	claims 1-5,14	5,11
X	GB 2 320 344 A (IBM) 17 June 1998 (1998-06-17)	1,2,4-7, 9,11-13, 15
	page 1, line 40 -page 2, line 17	
	page 2, line 28 -page 2, line 40	
	page 5, line 4 - line 9	
	page 5, line 36 -page 6, line 16	
	claims 1,2,5,6,10	
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"&" document member of the same patent family

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INTERNATIONAL SEARCH REPORT

Interns 1/ Application No

PCT/EP 99/09928

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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Intern: 11 Application No

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